

## **EPSTEIN & GERKEN** 1901 Research Boulevard Suite 340 Rockville, Maryland 20850-3164 (301) 610-7634

Atty Docket No.: 1345.101.US

In re the PATENT application of

Stephen M. Dubovsky

Examiner: Unknown

Serial No.:

10/664,940

Group Art Unit: 2838

Filed: September 22, 2003

For:

Grid-Connected Power Systems Having Back-up Power Sources and Methods of Providing Back-Up Power in

**Grid-Connected Power Systems** 

MAIL STOP: PATENT APPLICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents for filing in the subject patent application:

Information Disclosure Statement;

List of References Cited by Applicant(s) (FORM PTO 1449); and

One copy each of fifteen (15) U.S. patents and two (2) publications.

Respectfully submitted,

Karen M. Gerken

Registration No. 31,161

Hand-delivered: 12-22-03



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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## INFORMATION DISCLOSURE STATEMENT

MAIL STOP: PATENT APPLICATION **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the duty imposed by 37 C.F.R. §1.56 to disclose information which may be material to the above-identified patent application, the Examiner's attention is directed to the documents listed on the enclosed Information Disclosure Statement. Copies of the documents listed are enclosed herewith.

Since this Information Disclosure Statement is being filed in compliance with 37 C.F.A. §1.97(b), within three (3) months of the filing date of the above-identified application and/or prior to the mailing date of the first Official Action, no fee is required in connection with its filing.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

Karen M. Gerken

Registration No. 31,161

Epstein & Gerken 1901 Research Boulevard, Suite 340 Rockville, Maryland 20850 (301) 610-7634

Hand-Delivered: <u>/2-22-03</u>

Sheet 1 of 2										
FORM PTO 1449 (modified)			ATTY DOCKET NO. 1345.101	.us s	SERIAL NO. 10/664,940					
U.S. DEPARTMENT OF COMMERCIFE 2 2 2003 PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPRICANT(S) (Use several sheets if necessary)  TRADEMAR  Date Submitted to PTO:				APPLICANT Stephen M. Dubovsky						
(Use several sheets if necessary of TRADEMAN)  Date Submitted to PTO:				FILING DATE 09/22/2003			GROUP 2838			
		· /- ·	ATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	3	SUBCLASS	FILING DATE IF APPROPRIATE		
		3,419,779	08/09/1965	Zehner						
		3,600,599	08/17/1971	Wright et al						
	,	5,222,117	06/22/1993	Sakakihara						
		5,493,155	02/20/1996	Okamoto et al						
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		6,353,304	03/05/2002	Atcitty et al						
		6,370,050	04/09/2002	Peng et al						
		6,448,489	09/10/2002	Kimura et al						
FOREIGN PATENT DOCUMENTS										
		DOCUMENT NUMBER	DATE	COUNTRY	CLAS	ss	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT		
		OTHER DO	CUMENT(S) (Incl	luding Author, Title, Date, Pertinent Pa	ages, Etc.)					
			Advanced Energy, Inc. publication "Grid-Connected MultiMode Power Conversion System", 2-pages.							
			Advanced Ener Monitor", 2 pag	vanced Energy, Inc. publication "GC-1000 1kW Grid-Connected Photovoltaic Inverter", and "AM-100 Inverter onitor", 2 pages						
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EXAMINER DATE CONSIDERED										

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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			ATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMEI NUMBER	NT DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE			
	6,506,622	01/14/2003	Shiozaki						
	2003/0038713	3 A1 02/27/2003	Plude et al						
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		FOREIG	N PATENT DOCUMENTS						
	DOCUMEN NUMBER	NT DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT			
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